

**Canon Inc.**  
**Responsible Minerals Sourcing Report (3TG)**  
**For the Period from January 1, 2024 to December 31, 2024**

**1. Introduction (Background to the disclosure of the Responsible Minerals Sourcing Report (3TG))**

Canon Inc. conducted a “Reasonable Country of Origin Inquiry” (“**RCOI**”) for products manufactured or contracted to manufacture by Canon Inc. and its consolidated subsidiaries (“**Canon**” or “**Canon Group**”) from January 1, 2024 to December 31, 2024 (“**reporting period**”). The inquiry identified four minerals classified as conflict minerals—namely tin, tantalum, tungsten, and gold (“**3TG**”)—that originated in the Democratic Republic of the Congo, some adjoining countries and some of the other Conflict Affected and High-Risk Areas identified by the European Union Conflict Minerals Regulation and did not come from recycled or scrap minerals, that are used in some of the parts or materials that make up Canon Group’s products. Further, Canon Inc. conducted due diligence on the source and chain of custody of 3TG (“**due diligence**”) identified as originating from the Democratic Republic of the Congo, adjoining countries and the other Conflict Affected and High-Risk Areas identified by the European Union Conflict Minerals Regulation (“**covered countries**”).

**2. Application of Responsible Minerals Sourcing Report (3TG) on Canon and products subject to disclosure**

As of December 31, 2024, Canon Inc. had 334 consolidated subsidiaries, of which 54 subsidiaries manufactured products or contracted to manufacture products in the reporting period for which 3TG were necessary to the functionality and production. In total, 55 companies of the Canon Group, including Canon Inc. and those 54 consolidated subsidiaries conducted the RCOI. The subsidiaries that conducted the RCOI include certain marketing companies that contracted with manufacturers outside the Canon Group to manufacture items for sale outside the Canon Group.

Canon Group purchases raw materials, parts and components which include 3TG and manufactures products. Canon Group is located downstream in the 3TG supply chain, and does not directly purchase 3TG from mines. Therefore, Canon Group has to rely on information provided by the first-tier suppliers to the Canon Group (“**first-tier suppliers**”)

in identifying the source country of the 3TG. Many of the first-tier suppliers also have to rely on information from their upstream suppliers.

### 3. Basic principles regarding response to conflict minerals

Canon Inc. established the “Basic Approach of the Canon Group Regarding Conflict Minerals” in September 2012. Canon Inc. expanded the scope of covered minerals and risks in 2020 and updated the policy as the “Canon Group Basic Policy on Responsible Minerals Sourcing”. This has been published on Canon Inc.’s official website and applied to the entire Canon Group.

URL: <https://global.canon/en/sustainability/society/conflict/policy/>

### 4. RCOI and Due Diligence

The design of Canon’s RCOI and due diligence measures is in conformity with the “OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (third edition)” (“**OECD Guidance**”). Canon took the steps described below in accordance with the five steps laid out in the OECD Guidance.

#### a. Step 1: Establish strong company management systems

- In order to respond to the situation of conflict minerals and others, Canon established a cross-functional Conflict Minerals Response Project Team (“**Project Team**”) in its headquarters under the direction of a representative director in charge (Executive Vice President & CFO) in December 2010. Moreover, Canon designated a person in charge of conflict minerals in each of its group companies that manufacture or contract to manufacture products. The Project Team currently operates as the Working Group on Responsible Minerals Sourcing (“**Working Group**”).
- As stated above, Canon Inc. established the “Canon Group Basic Policy on Responsible Minerals Sourcing”.
- Canon established the “Guidelines for Responsible Minerals Sourcing” that clarified the responsible divisions and the framework for handling responsible minerals sourcing issues as well as the survey process, for the persons involved in the Canon Group.
- In July 2024, Canon Inc. summoned the persons in charge of responsible minerals sourcing for Canon Group’s domestic subsidiaries that manufacture or contract to manufacture products and held a meeting to share the results of the 2023 minerals

survey and discuss Canon's policies for the survey of 2024. Moreover, starting from August 2024 Canon Inc. shared information regarding responsible minerals sourcing matters for overseas subsidiaries with the persons in charge by e-mail and web meetings.

- Canon created and distributed the Responsible Minerals Sourcing Survey Manual to the first-tier suppliers.
- Canon requested the first-tier suppliers to sign and submit written confirmation (“**Certificate of Consent**”) of their agreement and cooperation with Canon's “Canon Group Basic Policy on Responsible Minerals Sourcing” and their agreement to take an action for appropriate measures should any significant risks associated with mineral sourcing be found in the supply chain.
- Using its Minerals Information Management System, Canon Inc. linked survey responses to product information, conducted product-level assessments, and stored the assessment results in a database, except for a few subsidiaries. Internal rules require that data records be retained for at least five years.
- Canon Inc. is a member of the Responsible Minerals Trade Working Group (“**RMTWG**”) of the Japan Electronics and Information Technology Industries Association (“**JEITA**”). As part of its initiatives, the RMTWG provided the documents related to the responsible minerals sourcing for suppliers in supply chains in the electrical and electronics industry. Step 3 below provides details of the industry initiatives on responsible minerals sourcing that Canon Inc. participates in.
- As an early-warning risk-awareness system specified in the OECD Guidance, Canon Inc. has established a grievance mechanism “Procedure for the Submission of Concerns Regarding Minerals Risk” on its official website since 2015. No reports from employees, suppliers, or other stakeholders were received by the contact point in 2024.

URL: <https://global.canon/en/contact/conflict/conflict-form-e.html>

**b. Step 2: Identify and assess risks in the supply chain**

- Canon designed a framework to identify each of the parts and materials used in the products that it manufactured or contracted to manufacture. Canon used its production and shipping management system, which includes bills of materials for products and also used its procurement system, to identify parts and materials required in products planned for production and shipping between January and the end of December 2024. (Canon Group companies not using such system made

use of a similar method to identify parts and materials.) Canon then carried out the RCOI on the first-tier suppliers handling the identified parts and materials.

- For the RCOI, Canon used the RMI Conflict Minerals Reporting Template Revision 6.4 ("**CMRT**"). The CMRT is published by the Responsible Minerals Initiative ("**RMI**"), one of the programs of the Responsible Business Alliance ("**RBA**"). Canon requested the first-tier suppliers that were identified as possibly using 3TG to report their status of 3TG using the CMRT. Suppliers that were not using 3TG for their parts and materials had the option of reporting their status through an alternative method, instead of using the CMRT.
- In addition to the RCOI described above, Canon conducted due diligence examinations of first-tier suppliers that reported the use of 3TG originating from covered countries. Suppliers who reported 3TG smelters were requested to fill in Canon's own " Due Diligence Survey Form" and if they reported any smelters that did not meet the conformant or active smelters in the RMI's audit program, they were also asked to submit the implementation status for requesting upstream suppliers to switch smelters and estimated schedule to mitigate the risks on such non-conformant or non-active smelters.
- Canon requested replies from the first-tier suppliers who did not respond by the closing date of the response.
- Canon Inc. verified the replies submitted by first-tier suppliers (for any incomplete answers or errors) using a proprietary reply-processing tool. When necessary, Canon requested resubmissions from first-tier suppliers.
- As of May 22, 2025, Canon had received responses from 96.0 percent of all first-tier suppliers surveyed.
- Canon compared the smelters or refiners ("**SORs**") identified through the RCOI against the list of the SORs which have been validated to be conformant to the Responsible Minerals Assurance Process ("**RMAP**"), the audit program of the RMI, as published by the RMI. The RMI implements cross recognition with the London Bullion Market Association (LBMA) and the Responsible Jewellery Council (RJC).
- Starting from October 2024, Canon sent warning letters to the first-tier suppliers, respectively, and requested them not to use the SORs designated by Canon's customers as high-risk SORs and non-conformant SORs under the RMAP in order to strengthen risk management. Further, Canon analyzed the responses of the " Due Diligence Survey Form" from the first-tier suppliers. Among the first-tier suppliers who had not been taking action to address risks, Canon approached those

that Canon considered might carry particular risk and requested them to take measures to mitigate risks.

**c. Step 3: Design and implement a strategy to respond to identified risks**

- The head of the Working Group reported the progress of responsible minerals sourcing activities to the representative director in charge.
- Canon Inc. is a key member of JEITA's RMTWG. The RMTWG is allied with the RMI, which plays the leading role in the response to responsible minerals sourcing. Canon Inc. has held the role of co-chair of the RMTWG since 2013. Canon Inc. also has been a member of the RMI since April 2015. Its membership code is "CNON." Canon Inc. assists RMI activities as a corporate member of the RMI.

**d. Step 4: Carry out independent third-party audit of smelter/refiner's due diligence practices**

- As a RMI member, Canon Inc. supports audits under the RMAP carried out by the RMI on SORs.

**e. Step 5: Report annually on supply chain due diligence**

- Canon Inc. plans to publish the Responsible Minerals Sourcing Report (3TG) on its official website by June 30, 2025.
- Since 2011, Canon Inc. has been reporting its activities in response to responsible minerals sourcing in its annually published Sustainability Report.

**5. Survey results for the 2024 calendar year**

**Result of RCOI and due diligence**

- Canon conducted the RCOI on 2,931 first-tier suppliers that possibly use 3TG. Canon conducted further due diligence on first-tier suppliers which reported the use of 3TG from the covered countries.
- In cases where 3TG originating from covered countries were used, Canon received some responses indicating the minerals in question had been sourced from SORs that are conformant with the RMAP.
- Canon analyzed the information on the SORs mentioned in the responses from the supply chain through the RCOI, with reference to the RMI's SOR

information. The RMI classifies SORs based on the auditing status. The website of the RMI provides information of the auditing status under the RMAP.

<http://www.responsiblemineralsinitiative.org/>

- As of May 9, 2025, 230 SORs in Canon supply chain were identified as RMAP conformant and 4 SORs were identified as Active in the RMAP through our review. The remaining 130 SORs were neither RMAP conformant nor Active in the RMAP. Appen3dix 1-1, 1-2 and 1-3 provide lists of these SORs.
- Canon was unable to determine whether the remaining entities reported by the first-tier suppliers as SORs were truly SORs.
- Due to inadequate information from its supply chains, Canon was unable to determine the country or region of origin of many 3TG used in Canon Group products.
- Appendix 1-4 lists examples of 3TG countries and regions of origin first-tier suppliers reported to Canon through CMRT responses.
- Based on the results of the RCOI and due diligence mentioned above, Canon was unable to determine whether the 3TG used for the products of the Canon Group financed or benefitted armed groups in the covered countries.

## **6. Efforts to determine the mine or location of origin of 3TG with the greatest possible specificity and risk mitigation**

The following efforts to determine the mine or location of origin of 3TG with the greatest possible specificity are planned to be implemented from 2025 onwards.

- Canon will continue promoting verification operations of high-risk first-tier suppliers in accordance with Canon's Guidelines for Responsible Minerals Sourcing.
- Canon Inc. will continue its participation at JEITA's RMTWG and RMI, and support activities to reduce risks in entire supply chains.

## **7. Independent monitoring**

- KPMG AZSA Sustainability Co., Ltd. conducted an independent private sector audit of this report. The audit assessed whether the due diligence framework Canon designed conforms to the OECD Guidance's due diligence framework and whether the due diligence description in this report is consistent with the actual measures Canon undertook. The independent assurance report is attached in Appendix 2.

**Appendix 1.**

**1. List of SORs that are believed to be in Canon's supply chain who were RMAP conformant as of May 9, 2025. (The notation of SOR names and locations is in accordance with the information provided by RMI.)**

<b>Metal</b>	<b>SORs</b>	<b>Country/Region</b>
Gold	Western Australian Mint (T/a The Perth Mint)	AUSTRALIA
Gold	Umicore S.A. Business Unit Precious Metals Refining	BELGIUM
Gold	AngloGold Ashanti Corrego do Sitio Mineracao	BRAZIL
Gold	Coimpa Industrial LTDA	BRAZIL
Gold	Asahi Refining Canada Ltd.	CANADA
Gold	CCR Refinery - Glencore Canada Corporation	CANADA
Gold	Royal Canadian Mint	CANADA
Gold	Planta Recuperadora de Metales SpA	CHILE
Gold	Gold Refinery of Zijin Mining Group Co., Ltd.	CHINA
Gold	Heraeus Metals Hong Kong Ltd.	CHINA
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.	CHINA
Gold	Jiangxi Copper Co., Ltd.	CHINA
Gold	Metalor Technologies (Hong Kong) Ltd.	CHINA
Gold	Metalor Technologies (Suzhou) Ltd.	CHINA
Gold	Shandong Gold Smelting Co., Ltd.	CHINA
Gold	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CHINA
Gold	Sichuan Tianze Precious Metals Co., Ltd.	CHINA
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation	CHINA
Gold	Gold by Gold Colombia	COLOMBIA
Gold	SAFINA A.S.	CZECHIA
Gold	WEEEREFINING	FRANCE
Gold	Agosi AG	GERMANY
Gold	Aurubis AG	GERMANY
Gold	C. Hafner GmbH + Co. KG	GERMANY
Gold	Heimerle + Meule GmbH	GERMANY

Gold	Heraeus Germany GmbH Co. KG	GERMANY
Gold	WIELAND Edelmetalle GmbH	GERMANY
Gold	MMTC-PAMP India Pvt., Ltd.	INDIA
Gold	PT Aneka Tambang (Persero) Tbk	INDONESIA
Gold	Chimet S.p.A.	ITALY
Gold	Italpreziosi	ITALY
Gold	T.C.A S.p.A	ITALY
Gold	Aida Chemical Industries Co., Ltd.	JAPAN
Gold	Asahi Pretec Corp.	JAPAN
Gold	Asaka Riken Co., Ltd.	JAPAN
Gold	Chugai Mining	JAPAN
Gold	Dowa	JAPAN
Gold	Eco-System Recycling Co., Ltd. East Plant	JAPAN
Gold	Eco-System Recycling Co., Ltd. North Plant	JAPAN
Gold	Eco-System Recycling Co., Ltd. West Plant	JAPAN
Gold	Ishifuku Metal Industry Co., Ltd.	JAPAN
Gold	Japan Mint	JAPAN
Gold	JX Nippon Mining & Metals Co., Ltd.	JAPAN
Gold	Kojima Chemicals Co., Ltd.	JAPAN
Gold	Matsuda Sangyo Co., Ltd.	JAPAN
Gold	Mitsubishi Materials Corporation	JAPAN
Gold	Mitsui Mining and Smelting Co., Ltd.	JAPAN
Gold	Nihon Material Co., Ltd.	JAPAN
Gold	Ohura Precious Metal Industry Co., Ltd.	JAPAN
Gold	Sumitomo Metal Mining Co., Ltd.	JAPAN
Gold	Tanaka Kikinzoku Kogyo K.K.	JAPAN
Gold	Tokuriki Honten Co., Ltd.	JAPAN
Gold	Yamakin Co., Ltd.	JAPAN
Gold	Yokohama Metal Co., Ltd.	JAPAN
Gold	Kazzinc	KAZAKHSTAN
Gold	TOO Tau-Ken-Altyn	KAZAKHSTAN
Gold	DSC (Do Sung Corporation)	KOREA, REPUBLIC OF
Gold	Korea Zinc Co., Ltd.	KOREA, REPUBLIC OF
Gold	LS MnM Inc.	KOREA, REPUBLIC OF
Gold	LT Metal Ltd.	KOREA, REPUBLIC OF

Gold	NH Recytech Company	KOREA, REPUBLIC OF
Gold	SungEel HiMetal Co., Ltd.	KOREA, REPUBLIC OF
Gold	Metalurgica Met-Mex Penoles S.A. De C.V.	MEXICO
Gold	REMONDIS PMR B.V.	NETHERLANDS
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	PHILIPPINES
Gold	KGHM Polska Miedz Spolka Akcyjna	POLAND
Gold	Metalor Technologies (Singapore) Pte., Ltd.	SINGAPORE
Gold	Impala Refineries – Base Metals Refinery (BMR)	SOUTH AFRICA
Gold	Impala Refineries – Platinum Metals Refinery (PMR)	SOUTH AFRICA
Gold	Impala Rustenburg	SOUTH AFRICA
Gold	Metal Concentrators SA (Pty) Ltd.	SOUTH AFRICA
Gold	Rand Refinery (Pty) Ltd.	SOUTH AFRICA
Gold	SEMPSA Joyeria Plateria S.A.	SPAIN
Gold	Boliden Ronnskar	SWEDEN
Gold	Argor-Heraeus S.A.	SWITZERLAND
Gold	Metalor Technologies S.A.	SWITZERLAND
Gold	MKS PAMP SA	SWITZERLAND
Gold	PX Precinox S.A.	SWITZERLAND
Gold	Valcambi S.A.	SWITZERLAND
Gold	Elite Industech Co., Ltd.	TAIWAN, PROVINCE OF CHINA
Gold	Solar Applied Materials Technology Corp.	TAIWAN, PROVINCE OF CHINA
Gold	GG Refinery Ltd.	TANZANIA, UNITED REPUBLIC OF
Gold	Istanbul Gold Refinery	TURKEY
Gold	Nadir Metal Rafineri San. Ve Tic. A.S.	TURKEY
Gold	Abington Reldan Metals, LLC	UNITED STATES OF AMERICA
Gold	Advanced Chemical Company	UNITED STATES OF AMERICA
Gold	Asahi Refining USA Inc.	UNITED STATES OF AMERICA
Gold	Kennecott Utah Copper LLC	UNITED STATES OF AMERICA
Gold	Materion	UNITED STATES OF AMERICA
Gold	Metalor USA Refining Corporation	UNITED STATES OF AMERICA
Gold	United Precious Metal Refining, Inc.	UNITED STATES OF AMERICA

Gold	Almalyk Mining and Metallurgical Complex (AMMC)	UZBEKISTAN
Gold	Navoi Mining and Metallurgical Combinat	UZBEKISTAN
Tantalum	AMG Brasil	BRAZIL
Tantalum	Mineracao Taboca S.A.	BRAZIL
Tantalum	Resind Industria e Comercio Ltda.	BRAZIL
Tantalum	F&X Electro-Materials Ltd.	CHINA
Tantalum	FIR Metals & Resource Ltd.	CHINA
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.	CHINA
Tantalum	Jiangxi Tuohong New Raw Material	CHINA
Tantalum	JiuJiang JinXin Nonferrous Metals Co., Ltd.	CHINA
Tantalum	Jiujiang Tanbre Co., Ltd.	CHINA
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.	CHINA
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.	CHINA
Tantalum	RFH Yancheng Jinye New Material Technology Co., Ltd.	CHINA
Tantalum	XIMEI RESOURCES (GUANGDONG) LIMITED	CHINA
Tantalum	XinXing HaoRong Electronic Material Co., Ltd.	CHINA
Tantalum	Yanling Jincheng Tantalum & Niobium Co., Ltd.	CHINA
Tantalum	NPM Silmet AS	ESTONIA
Tantalum	TANIOBIS GmbH	GERMANY
Tantalum	TANIOBIS Smelting GmbH & Co. KG	GERMANY
Tantalum	Metallurgical Products India Pvt., Ltd.	INDIA
Tantalum	Global Advanced Metals Aizu	JAPAN
Tantalum	Mitsui Mining and Smelting Co., Ltd.	JAPAN
Tantalum	Taki Chemical Co., Ltd.	JAPAN
Tantalum	TANIOBIS Japan Co., Ltd.	JAPAN
Tantalum	Ulba Metallurgical Plant JSC	KAZAKHSTAN
Tantalum	KEMET de Mexico	MEXICO
Tantalum	PowerX Ltd.	RWANDA
Tantalum	TANIOBIS Co., Ltd.	THAILAND
Tantalum	D Block Metals, LLC	UNITED STATES OF AMERICA
Tantalum	Global Advanced Metals Boyertown	UNITED STATES OF AMERICA

Tantalum	Materion Newton Inc.	UNITED STATES OF AMERICA
Tantalum	QuantumClean	UNITED STATES OF AMERICA
Tantalum	Telex Metals	UNITED STATES OF AMERICA
Tin	Global Advanced Metals Greenbushes Pty Ltd.	AUSTRALIA
Tin	Aurubis Beerse	BELGIUM
Tin	EM Vinto	BOLIVIA (PLURINATIONAL STATE OF)
Tin	Operaciones Metalurgicas S.A.	BOLIVIA (PLURINATIONAL STATE OF)
Tin	CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda	BRAZIL
Tin	Estanho de Rondonia S.A.	BRAZIL
Tin	Magnu's Mineraiis Metais e Ligas Ltda.	BRAZIL
Tin	Mineracao Taboca S.A.	BRAZIL
Tin	Resind Industria e Comercio Ltda.	BRAZIL
Tin	Super Ligas	BRAZIL
Tin	White Solder Metalurgia e Mineracao Ltda.	BRAZIL
Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.	CHINA
Tin	Chifeng Dajingzi Tin Industry Co., Ltd.	CHINA
Tin	China Tin Group Co., Ltd.	CHINA
Tin	Gejiu Non-Ferrous Metal Processing Co., Ltd.	CHINA
Tin	Guangdong Hanhe Non-Ferrous Metal Co., Ltd.	CHINA
Tin	HuiChang Hill Tin Industry Co., Ltd.	CHINA
Tin	Jiangxi New Nanshan Technology Ltd.	CHINA
Tin	Tin Smelting Branch of Yunnan Tin Co., Ltd.	CHINA
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.	CHINA
Tin	Yunnan Yunfan Non-ferrous Metals Co., Ltd.	CHINA
Tin	Mining Minerals Resources SARL	CONGO, DEMOCRATIC REPUBLIC OF THE
Tin	CV Ayi Jaya	INDONESIA
Tin	CV Venus Inti Perkasa	INDONESIA
Tin	PT Aries Kencana Sejahtera	INDONESIA
Tin	PT Artha Cipta Langgeng	INDONESIA
Tin	PT ATD Makmur Mandiri Jaya	INDONESIA

Tin	PT Babel Inti Perkasa	INDONESIA
Tin	PT Babel Surya Alam Lestari	INDONESIA
Tin	PT Bangka Prima Tin	INDONESIA
Tin	PT Bangka Serumpun	INDONESIA
Tin	PT Bangka Tin Industry	INDONESIA
Tin	PT Belitung Industri Sejahtera	INDONESIA
Tin	PT Bukit Timah	INDONESIA
Tin	PT Cipta Persada Mulia	INDONESIA
Tin	PT Menara Cipta Mulia	INDONESIA
Tin	PT Mitra Stania Prima	INDONESIA
Tin	PT Mitra Sukses Globalindo	INDONESIA
Tin	PT Premium Tin Indonesia	INDONESIA
Tin	PT Prima Timah Utama	INDONESIA
Tin	PT Putera Sarana Shakti (PT PSS)	INDONESIA
Tin	PT Rajawali Rimba Perkasa	INDONESIA
Tin	PT Rajehan Ariq	INDONESIA
Tin	PT Refined Bangka Tin	INDONESIA
Tin	PT Sariwiguna Binasentosa	INDONESIA
Tin	PT Sukses Inti Makmur (SIM)	INDONESIA
Tin	PT Timah Nusantara	INDONESIA
Tin	PT Timah Tbk Kundur	INDONESIA
Tin	PT Timah Tbk Mentok	INDONESIA
Tin	PT Tinindo Inter Nusa	INDONESIA
Tin	PT Tommy Utama	INDONESIA
Tin	Dowa	JAPAN
Tin	Mitsubishi Materials Corporation	JAPAN
Tin	Malaysia Smelting Corporation (MSC)	MALAYSIA
Tin	Malaysia Smelting Corporation Berhad (Port Klang)	MALAYSIA
Tin	DS Myanmar	MYANMAR
Tin	Minsur	PERU
Tin	O.M. Manufacturing Philippines, Inc.	PHILIPPINES
Tin	Fenix Metals	POLAND
Tin	Luna Smelter, Ltd.	RWANDA
Tin	Aurubis Berango	SPAIN

Tin	CRM Synergies	SPAIN
Tin	Rui Da Hung	TAIWAN, PROVINCE OF CHINA
Tin	O.M. Manufacturing (Thailand) Co., Ltd.	THAILAND
Tin	Thaisarco	THAILAND
Tin	Woodcross Smelting Company Limited	UGANDA
Tin	Alpha	UNITED STATES OF AMERICA
Tin	Metallic Resources, Inc.	UNITED STATES OF AMERICA
Tin	Tin Technology & Refining	UNITED STATES OF AMERICA
Tungsten	Wolfram Bergbau und Hutten AG	AUSTRIA
Tungsten	Cronimet Brasil Ltda	BRAZIL
Tungsten	China Molybdenum Tungsten Co., Ltd.	CHINA
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.	CHINA
Tungsten	Fujian Xinlu Tungsten Co., Ltd.	CHINA
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CHINA
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	CHINA
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	CHINA
Tungsten	Hubei Green Tungsten Co., Ltd.	CHINA
Tungsten	Hunan Chenzhou Mining Co., Ltd.	CHINA
Tungsten	Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch	CHINA
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.	CHINA
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.	CHINA
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.	CHINA
Tungsten	Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CHINA
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	CHINA
Tungsten	Malipo Haiyu Tungsten Co., Ltd.	CHINA
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	CHINA
Tungsten	Xiamen Tungsten Co., Ltd.	CHINA
Tungsten	H.C. Starck Tungsten GmbH	GERMANY
Tungsten	TANIOBIS Smelting GmbH & Co. KG	GERMANY
Tungsten	A.L.M.T. Corp.	JAPAN
Tungsten	Japan New Metals Co., Ltd.	JAPAN
Tungsten	Philippine Bonway Manufacturing Industrial Corporation	PHILIPPINES

Tungsten	Philippine Chuangxin Industrial Co., Inc.	PHILIPPINES
Tungsten	Lianyou Metals Co., Ltd.	TAIWAN, PROVINCE OF CHINA
Tungsten	Lianyou Resources Co., Ltd.	TAIWAN, PROVINCE OF CHINA
Tungsten	Global Tungsten & Powders LLC	UNITED STATES OF AMERICA
Tungsten	Kennametal Fallon	UNITED STATES OF AMERICA
Tungsten	Kennametal Huntsville	UNITED STATES OF AMERICA
Tungsten	Niagara Refining LLC	UNITED STATES OF AMERICA
Tungsten	Asia Tungsten Products Vietnam Ltd.	VIET NAM
Tungsten	Kenee Mining Corporation Vietnam	VIET NAM
Tungsten	Masan High-Tech Materials	VIET NAM
Tungsten	Tungsten Vietnam Joint Stock Company	VIET NAM

**2. List of SORs that are believed to be in Canon's supply chain who were RMAP Active as of May 9, 2025. (The notation of SOR names and locations is in accordance with the information provided by RMI.)**

<b>Metal</b>	<b>SORs</b>	<b>Country/Region</b>
Gold	Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH	AUSTRIA
Gold	Bangalore Refinery	INDIA
Tin	Melt Metais e Ligas S.A.	BRAZIL
Tungsten	Philippine Carreytech Metal Corp.	PHILIPPINES

**3. List of SORs that are believed to be in Canon's supply chain who were neither RMAP conformant nor RMAP Active as of May 9, 2025. (The notation of SOR names and locations is in accordance with the information provided by RMI.)**

<b>Metal</b>	<b>SORs</b>	<b>Country/Region</b>
Gold	L'Orfebre S.A.	ANDORRA
Gold	ABC Refinery Pty Ltd.	AUSTRALIA
Gold	Industrial Refining Company	BELGIUM
Gold	Marsam Metals	BRAZIL
Gold	Daye Non-Ferrous Metals Mining Ltd.	CHINA
Gold	Dongwu Gold Group	CHINA
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CHINA
Gold	Guangdong Jinding Gold Limited	CHINA
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CHINA
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CHINA

Gold	Hunan Chenzhou Mining Co., Ltd.	CHINA
Gold	Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd.	CHINA
Gold	Lingbao Gold Co., Ltd.	CHINA
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CHINA
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.	CHINA
Gold	Penglai Penggang Gold Industry Co., Ltd.	CHINA
Gold	Refinery of Seemine Gold Co., Ltd.	CHINA
Gold	Shandong Humon Smelting Co., Ltd.	CHINA
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	CHINA
Gold	Shenzhen CuiLu Gold Co., Ltd.	CHINA
Gold	Shenzhen Zhonghenglong Real Industry Co., Ltd.	CHINA
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CHINA
Gold	Yunnan Copper Industry Co., Ltd.	CHINA
Gold	SAAMP	FRANCE
Gold	Degussa Sonne / Mond Goldhandel GmbH	GERMANY
Gold	Gold Coast Refinery	GHANA
Gold	Attero Recycling Pvt Ltd	INDIA
Gold	Augmont Enterprises Private Limited	INDIA
Gold	CGR Metalloys Pvt Ltd.	INDIA
Gold	Emerald Jewel Industry India Limited (Unit 1)	INDIA
Gold	Emerald Jewel Industry India Limited (Unit 2)	INDIA
Gold	Emerald Jewel Industry India Limited (Unit 3)	INDIA
Gold	Emerald Jewel Industry India Limited (Unit 4)	INDIA
Gold	GGC Gujrat Gold Centre Pvt. Ltd.	INDIA
Gold	JALAN & Company	INDIA
Gold	Kundan Care Products Ltd.	INDIA
Gold	MD Overseas	INDIA
Gold	Sai Refinery	INDIA
Gold	Shirpur Gold Refinery Ltd.	INDIA
Gold	Sovereign Metals	INDIA
Gold	8853 S.p.A.	ITALY
Gold	Safimet S.p.A	ITALY
Gold	Kazakhmys Smelting LLC	KAZAKHSTAN
Gold	HwaSeong CJ CO., LTD.	KOREA, REPUBLIC OF
Gold	Samduck Precious Metals	KOREA, REPUBLIC OF
Gold	Samwon Metals Corp.	KOREA, REPUBLIC OF
Gold	Torecom	KOREA, REPUBLIC OF
Gold	Kyrgyzaltyn JSC	KYRGYZSTAN
Gold	State Research Institute Center for Physical Sciences and Technology	LITHUANIA
Gold	Modeltech Sdn Bhd	MALAYSIA
Gold	Caridad	MEXICO

Gold	Morris and Watson	NEW ZEALAND
Gold	K.A. Rasmussen	NORWAY
Gold	Inca One (Chala One Plant)	PERU
Gold	Inca One (Koricancha Plant)	PERU
Gold	Albino Mountinho Lda.	PORTUGAL
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant	RUSSIAN FEDERATION
Gold	JSC Novosibirsk Refinery	RUSSIAN FEDERATION
Gold	JSC Uralelectromed	RUSSIAN FEDERATION
Gold	Kyshtym Copper-Electrolytic Plant ZAO	RUSSIAN FEDERATION
Gold	Moscow Special Alloys Processing Plant	RUSSIAN FEDERATION
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)	RUSSIAN FEDERATION
Gold	Prioksky Plant of Non-Ferrous Metals	RUSSIAN FEDERATION
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals	RUSSIAN FEDERATION
Gold	L'azurde Company For Jewelry	SAUDI ARABIA
Gold	AU Traders and Refiners	SOUTH AFRICA
Gold	Sudan Gold Refinery	SUDAN
Gold	Cendres + Metaux S.A.	SWITZERLAND
Gold	Singway Technology Co., Ltd.	TAIWAN, PROVINCE OF CHINA
Gold	Super Dragon Technology Co., Ltd.	TAIWAN, PROVINCE OF CHINA
Gold	Umicore Precious Metals Thailand	THAILAND
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	TURKEY
Gold	African Gold Refinery	UGANDA
Gold	Al Etihad Gold Refinery DMCC	UNITED ARAB EMIRATES
Gold	Dijllah Gold Refinery FZC	UNITED ARAB EMIRATES
Gold	Emirates Gold DMCC	UNITED ARAB EMIRATES
Gold	Fujairah Gold FZC	UNITED ARAB EMIRATES
Gold	International Precious Metal Refiners	UNITED ARAB EMIRATES
Gold	Kaloti Precious Metals	UNITED ARAB EMIRATES
Gold	Sam Precious Metals	UNITED ARAB EMIRATES
Gold	Alexy Metals	UNITED STATES OF AMERICA
Gold	Metallix Refining Inc.	UNITED STATES OF AMERICA
Gold	NOBLE METAL SERVICES	UNITED STATES OF AMERICA
Gold	Pease & Curren	UNITED STATES OF AMERICA
Gold	QG Refining, LLC	UNITED STATES OF AMERICA
Gold	Sabin Metal Corp.	UNITED STATES OF AMERICA
Gold	Fidelity Printers and Refiners Ltd.	ZIMBABWE
Tantalum	5D Production OU	ESTONIA

Tantalum	Solikamsk Magnesium Works OAO	RUSSIAN FEDERATION
Tin	Fabrica Auricchio Industria e Comercio Ltda.	BRAZIL
Tin	Dongguan CiEXPO Environmental Engineering Co., Ltd.	CHINA
Tin	Gejiu City Fuxiang Industry and Trade Co., Ltd.	CHINA
Tin	Gejiu Kai Meng Industry and Trade LLC	CHINA
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.	CHINA
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CHINA
Tin	Longnan Chuangyue Environmental Protection Technology Development Co., Ltd	CHINA
Tin	Ma'anshan Weitai Tin Co., Ltd.	CHINA
Tin	Precious Minerals and Smelting Limited	INDIA
Tin	RIKAYAA GREENTECH PRIVATE LIMITED	INDIA
Tin	PT Mitra Graha Raya	INDONESIA
Tin	PT Panca Mega Persada	INDONESIA
Tin	PT Stanindo Inti Perkasa	INDONESIA
Tin	PT Tirus Putra Mandiri	INDONESIA
Tin	Modeltech Sdn Bhd	MALAYSIA
Tin	Pongpipat Company Limited	MYANMAR
Tin	Novosibirsk Tin Combine	RUSSIAN FEDERATION
Tin	An Vinh Joint Stock Mineral Processing Company	VIET NAM
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company	VIET NAM
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	VIET NAM
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	VIET NAM
Tin	VQB Mineral and Trading Group JSC	VIET NAM
Tungsten	ACL Metais Eireli	BRAZIL
Tungsten	Albasteel Industria e Comercio de Ligas Para Fundicao Ltda.	BRAZIL
Tungsten	CNMC (Guangxi) PGMA Co., Ltd.	CHINA
Tungsten	Hunan Jintai New Material Co., Ltd.	CHINA
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CHINA
Tungsten	YUDU ANSHENG TUNGSTEN CO., LTD.	CHINA
Tungsten	DONGKUK INDUSTRIES CO., LTD.	KOREA, REPUBLIC OF
Tungsten	HANNAE FOR T Co., Ltd.	KOREA, REPUBLIC OF
Tungsten	MALAMET SMELTING SDN. BHD.	MALAYSIA
Tungsten	Artek LLC	RUSSIAN FEDERATION
Tungsten	Hydrometallurg, JSC	RUSSIAN FEDERATION
Tungsten	JSC "Kirovgrad Hard Alloys Plant"	RUSSIAN FEDERATION
Tungsten	LLC Vostok	RUSSIAN FEDERATION
Tungsten	Moliren Ltd.	RUSSIAN FEDERATION
Tungsten	NPP Tyazhmetprom LLC	RUSSIAN FEDERATION
Tungsten	OOO "Technolom" 1	RUSSIAN FEDERATION
Tungsten	OOO "Technolom" 2	RUSSIAN FEDERATION

Tungsten	Unecha Refractory metals plant	RUSSIAN FEDERATION
Tungsten	Nam Viet Cromit Joint Stock Company	VIET NAM

\* On March 17, 2022, the U.S. Department of the Treasury added this gold refinery in Uganda to the OFAC's Specially Designated Nationals (SDN) List. The Canon Group has no direct business with the refinery. We alerted the first-tier suppliers of the Canon Group not to use this gold refinery. As of the date of this report, we remain in the process of confirming the elimination of this refinery from our suppliers.

**4. Examples of the countries and regions of origin from which the SORs listed in Appendix 1-1, 1-2 and 1-3 are believed to have sourced 3TG**

Andorra	Georgia
Argentina	Germany
Armenia	Ghana
Australia	Guatemala
Austria	Guinea
Azerbaijan	Guyana
Bahamas	Honduras
Belgium	Hungary
Bolivia	India
Botswana	Indonesia
Brazil	Ireland
Bulgaria	Israel
Burkina Faso	Italy
Burundi	Japan
Canada	Kazakhstan
Chile	Kenya
China	Korea, Republic of
Colombia	Laos
Cote d'Ivoire	Liberia
Cyprus	Liechtenstein
Czech Republic	Lithuania
Democratic Republic of the Congo	Luxembourg
Dominican Republic	Madagascar
Ecuador	Malaysia
Egypt	Mali
El Salvador	Mauritania
Estonia	Mexico
Ethiopia	Mongolia
Fiji	Morocco
Finland	Mozambique
France	Myanmar

Namibia	Turkey
Netherlands	Uganda
New Zealand	United Arab Emirates
Nicaragua	United Kingdom
Niger	United States of America
Nigeria	Uruguay
Norway	Uzbekistan
Oman	Viet Nam
Panama	Zambia
Papua New Guinea	
Peru	
Philippines	
Poland	
Portugal	
Russian Federation	
Rwanda	
Samoa	
Saudi Arabia	
Senegal	
Serbia	
Sierra Leone	
Singapore	
Slovakia	
Slovenia	
Solomon Islands	
South Africa	
Spain	
Sudan	
Suriname	
Sweden	
Switzerland	
Taiwan	
Tajikistan	
Tanzania	
Thailand	
Togo	



## **Independent Practitioner's Reasonable Assurance Report**

To the Board of Directors of Canon Inc.

### **Opinion**

We have performed a reasonable assurance engagement on:

- management's assertion, included in section 4 of the Responsible Minerals Sourcing Report (3TG) for the reporting period from January 1 to December 31, 2024 (the "Report"), that the design of Canon Inc.'s (the "Company") due diligence framework is in conformity with the criteria set forth in the Organisation of Economic Co-Operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Third Edition 2016 ("OECD Due Diligence Guidance"), and
- whether the Company's description of the due diligence measures it performed, as set forth in section 4 of the Report, is consistent, in all material respects, with the due diligence process that the Company undertook.

In our opinion,

- the design of the Company's due diligence framework for the reporting period from January 1 to December 31, 2024, as set forth in section 4 of the Report is in conformity, in all material respects, with the OECD Due Diligence Guidance, and
- the Company's description of the due diligence measures it performed as set forth in section 4 of the Report, is consistent, in all material respects, with the due diligence process that the Company undertook.

### **Basis for opinion**

We conducted our engagement in accordance with International Standard on Assurance Engagements (ISAE) 3000 (Revised), *Assurance Engagements Other Than Audits or Reviews of Historical Financial Information*, issued by the International Auditing and Assurance Standards Board (IAASB). Our responsibilities under these are further described in the "Our responsibilities" section of our report.

We have complied with the independence and other ethical requirements of the International Code of Ethics for Professional Accountants (including International Independence Standards) issued by the International Ethics Standards Board for Accountants (IESBA).

Our firm applies International Standard on Quality Management (ISQM) 1, *Quality Management for Firms that Perform Audits or Reviews of Financial Statements, or Other Assurance or Related Services Engagements*, issued by the IAASB. This standard requires the firm to design, implement and operate a system of quality management, including policies or procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

We believe that the evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### **Responsibilities for the Report**

Management of the Company are responsible for:

- designing, implementing and maintaining internal control relevant to the preparation of the Report that is free from material misstatement, whether due to fraud or error;
- selecting suitable criteria for preparing the Report and appropriately referring to the criteria used; and
- preparing the Report in accordance with the Criteria.



## Our responsibilities

We are responsible for:

- planning and performing the engagement to obtain reasonable assurance about whether the Report is free from material misstatement, whether due to fraud or error;
- forming an independent opinion, based on the procedures we have performed and the evidence we have obtained; and
- reporting our opinion to the management.

Our reasonable assurance engagement was not conducted for the purpose of evaluating:

- the consistency of the due diligence measures that the Company performed with either the design of the Company's due diligence framework or the OECD Due Diligence Guidance;
- the completeness of the Company's description of the due diligence measures performed;
- the suitability of the design or operating effectiveness of the Company's due diligence process;
- whether a third party can determine from the Report if the due diligence measures the Company performed are consistent with the OECD Due Diligence Guidance;
- the Company's reasonable country of origin inquiry (RCOI), including the suitability of the design of the RCOI, its operating effectiveness, or the results thereof; or
- the Company's conclusions about the source or chain of custody of its conflict minerals, those products subject to due diligence, or the DRC Conflict Free status of its products.

Accordingly, we do not express an opinion or any other form of assurance on the aforementioned matters or any other matters included in any section of the Report other than section 4.

### Summary of the work we performed as the basis for our opinion

We exercised professional judgment and maintained professional skepticism throughout the engagement. We designed and performed our procedures to obtain evidence about the Report that is sufficient and appropriate to provide a basis for our opinion. The nature, timing, and extent of the procedures selected depended on our judgment, including an assessment of the risks of material misstatement of the Report, whether due to fraud or error. We identified and assessed the risks of material misstatement through understanding the Report and the engagement circumstances. We also obtained an understanding of the internal control relevant to the Report in order to design procedures that are appropriate in the circumstances but not for the purpose of expressing an opinion on the effectiveness of internal controls. In carrying out our engagement, we:

- evaluated the suitability in the circumstances of the Company's use of the criteria for determining the compliance with each step;
- evaluated the appropriateness of the policies and procedures used by the Company; and
- evaluated the overall presentation of the information presented in the Report.

Kazuhiko Saito, Engagement Partner  
KPMG AZSA Sustainability Co., Ltd.  
Tokyo Office, Japan  
May 30, 2025